FORM 4

obligations may continue. See

Instruction 1(b)

Check this box if no longer subject to Section 16. Form 4 or Form 5

1. Name and Address of Reporting Person

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT	OF CHAN	IGES IN B	ENEFICIAL	OWNERSHIP
• · · · · · · · · · · · · · · · · · · ·	· · · · · · · · · · · · · · · · · · ·			• • • • • • • • • • • • • • • • • • • •

OMB APPROVAL OMB Number: Estimated average burden 0.5 hours per response:

5. Relationship of Reporting Person(s) to Issuer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading Symbol

Zumm	<u>o Jacquel</u>	<u>ine</u>				r I ar	a Iner	<u>apeı</u>	<u>itics, In</u>	<u>C.</u> [TARA J		1	CHECK	Directo	r		10% Ov			
	TARA THE	irst) RAPEUTICS, II 2TH STREET	(Middle) NC.		3. Date of Earliest Transa 01/09/2020				action (Month/Day/Year)					X	below) Sr. V		Other (sp below) ident, Research		pecify		
(Street) NEW YO			10014 (Zip)		_ 4.	4. If Amendment, Date of				of Original Filed (Month/Day/Year)					Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person						
1. Title of	Security (Ins		le I - Noi	1-Deriv		_	Curities		quired, I	Disp		f, or Be			Owned	nt of	6. Ow	nership	7. Nature		
···· 4 (··· 4)		Date (Month/	Date (Month/Day/Year)		Execution Date, if any (Month/Day/Year)		Code (Instr.					nd	Securities Beneficially Owned Following		Form: Direct (D) or Indirect (I) (Instr. 4)	r Indirect str. 4)	of Indirect Beneficial Ownership				
								Code	v	Amount	nt (A) or (D)		е	Reported Transaction(s) (Instr. 3 and 4)				(Instr. 4)			
Common Stock 0		01/09	/2020 ⁽¹⁾				A		28,613	3 A		2)	28,613			D					
Common Stock 0		01/1	0/2020				A		45,500	(3) A	\$0	.00	74,	113		D					
		-	Table II -						uired, Di , option						wned						
Derivative Conversion Date Executi Security or Exercise (Month/Day/Year) if any		3A. Deeme Execution if any (Month/Day	Date, Transaction Code (Instr					6. Date Exercisa Expiration Date (Month/Day/Yea		of Securities		ies g Securi	D S	Price of erivative ecurity nstr. 5)		Ownershi Form: Direct (D) or Indirect (I) (Instr. 4	Ownership Form:	Beneficial Ownership (Instr. 4)			
					Code	v	(A)	(D)	Date Exercisabl		xpiration	Title	Amou or Number of Shares	er							
Stock	l				Coue	1 -	1	'-'		ᆝ		Title		- 1							
Option (Right to Buy)	\$9.18	01/09/2020 ⁽¹⁾			A		19,075		(4)		7/11/2028	Common Stock	19,07	75	(5)	19,075	5	D			
Option (Right to	\$9.18 \$9.18	01/09/2020 ⁽¹⁾ 01/09/2020 ⁽¹⁾								0		Common	19,07 9,53		(5)	19,075 9,537		D D			

Explanation of Responses:

- 1. On September 23, 2019, the Issuer entered into an Agreement and Plan of Merger and Reorganization (the "Merger Agreement") as amended on November 19, 2019, with the Issuer, REM 1 Acquisition, Inc. ("Merger Sub") and ArTara Subsidiary, Inc. ("ArTara Sub"). On January 9, 2020 (the "Effective Time"), Merger Sub was merged with and into ArTara Sub, with ArTara Sub surviving as a wholly owned subsidiary of the Issuer
- 2. These shares were received in exchange for 150,000 shares of ArTara Sub Common Stock in connection with the Merger.
- 3. The shares being reported are being issued pursuant to restricted stock unit awards ("RSUs"), each one of which represents a contingent right to receive one share of Issuer's common stock. 25% of the shares vest on each one-year anniversary of January 10, 2020.
- 4. 1/48th of the shares subject to the option shall vest monthly following August 1, 2018, for so long as the Reporting Person provides continuous service to the Issuer.
- 5. Received in the Merger in exchange for an employee stock option to acquire 100,000 shares of ArTara Sub Common Stock for \$1.75 per share.
- 6. The shares subject to the option shall vest in forty eight (48) approximately equal increments of one thousand forty one (1,041) shares beginning on the first day of the first calendar month following January 1, 2019, and then on the first day of each of the next forty seven (47) months thereafter (each a "Vesting Date"), if Reporting Person has remained continuously engaged by the Issuer or any Affiliate through such Vesting Date; provided that, on the last such Vesting Date (being December 1, 2022), all of the Option Shares which had not vested by then (being not less than 1,073 Option Shares) will vest and become exercisable
- $7. \ Received in the Merger in exchange for an employee stock option to acquire 50,000 shares of ArTara Sub Common Stock for $1.75 per share.$
- 8. 22,000 (44%) of the shares subject to the option shall vest immediately. The remaining 28,000 shares shall vest in twenty seven (27) approximately equal increments beginning on the first day of the first calendar month following the Date of Grant, September 17, 2019, and then on the first day of each of the next twenty six (26) months thereafter a ("Vesting Date"), if Reporting Person has remained continuously engaged by the Issuer or any Affiliate through such Vesting Date; provided that, on the last such Vesting Date (being December 1, 2021, all of the Option Shares which had not vested by then will vest and become exercisable).

Remarks:

/s/ Jes<u>se Shefferman, Attorney-</u> in-fact

01/13/2020

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.